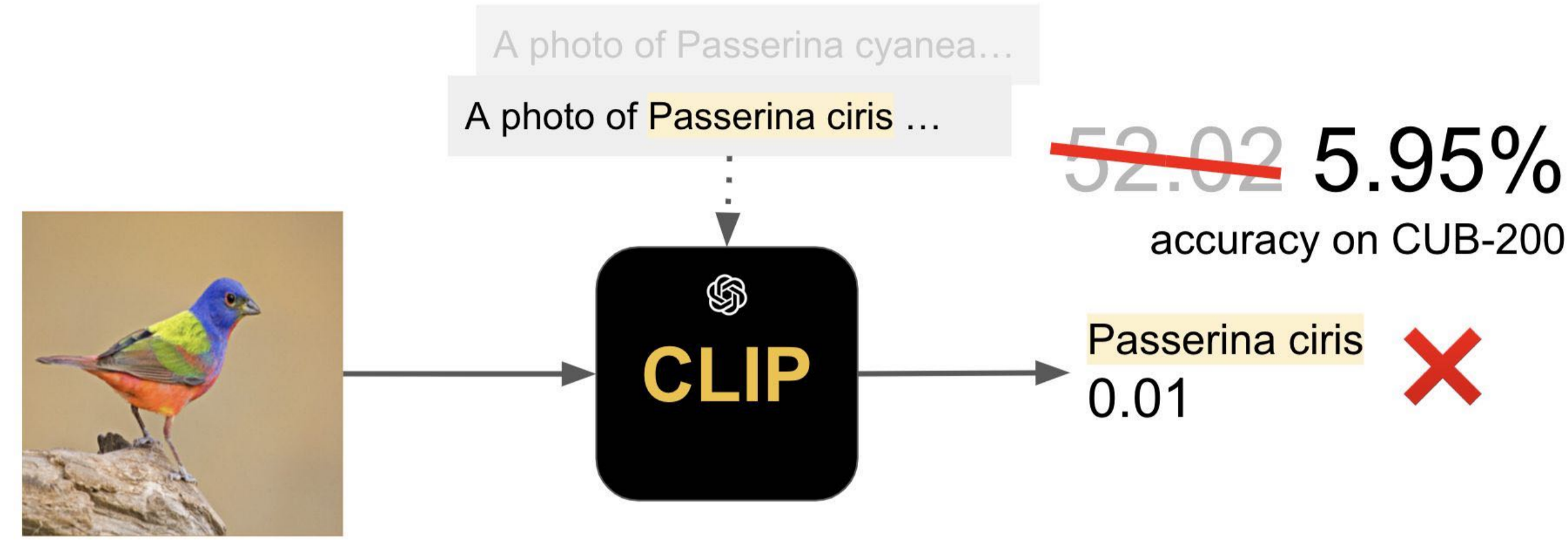




1. Introduction



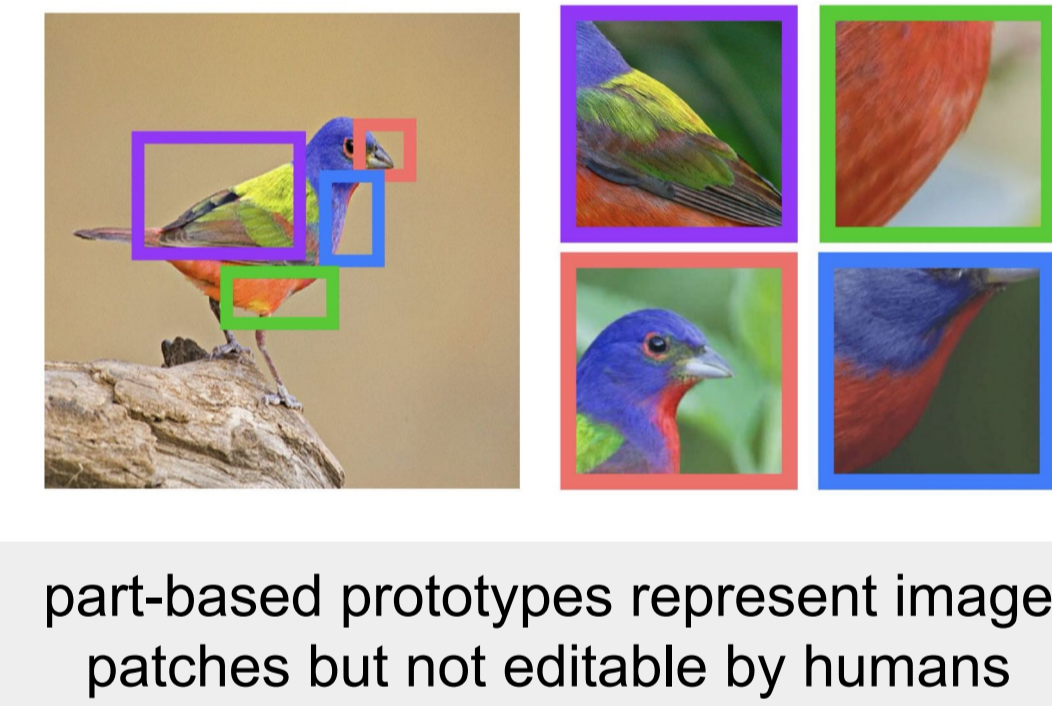
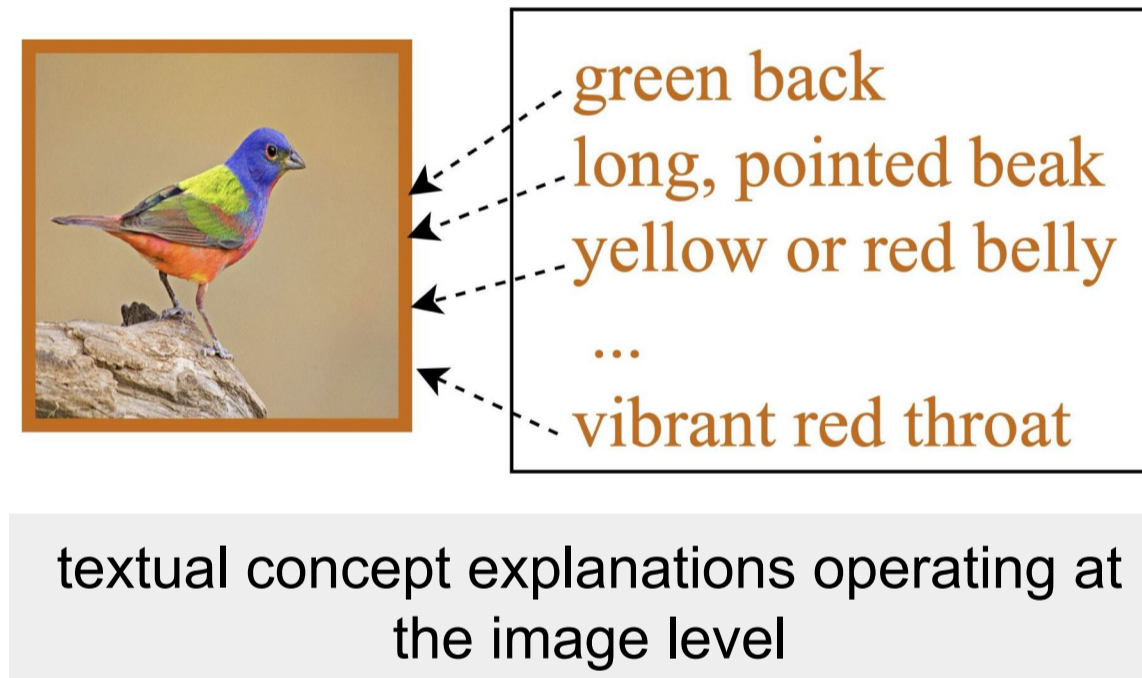
Problems:

1. CLIP relies on known class names.
2. Training required for new, unseen classnames.
3. How text prompts match input images is a black-box process.

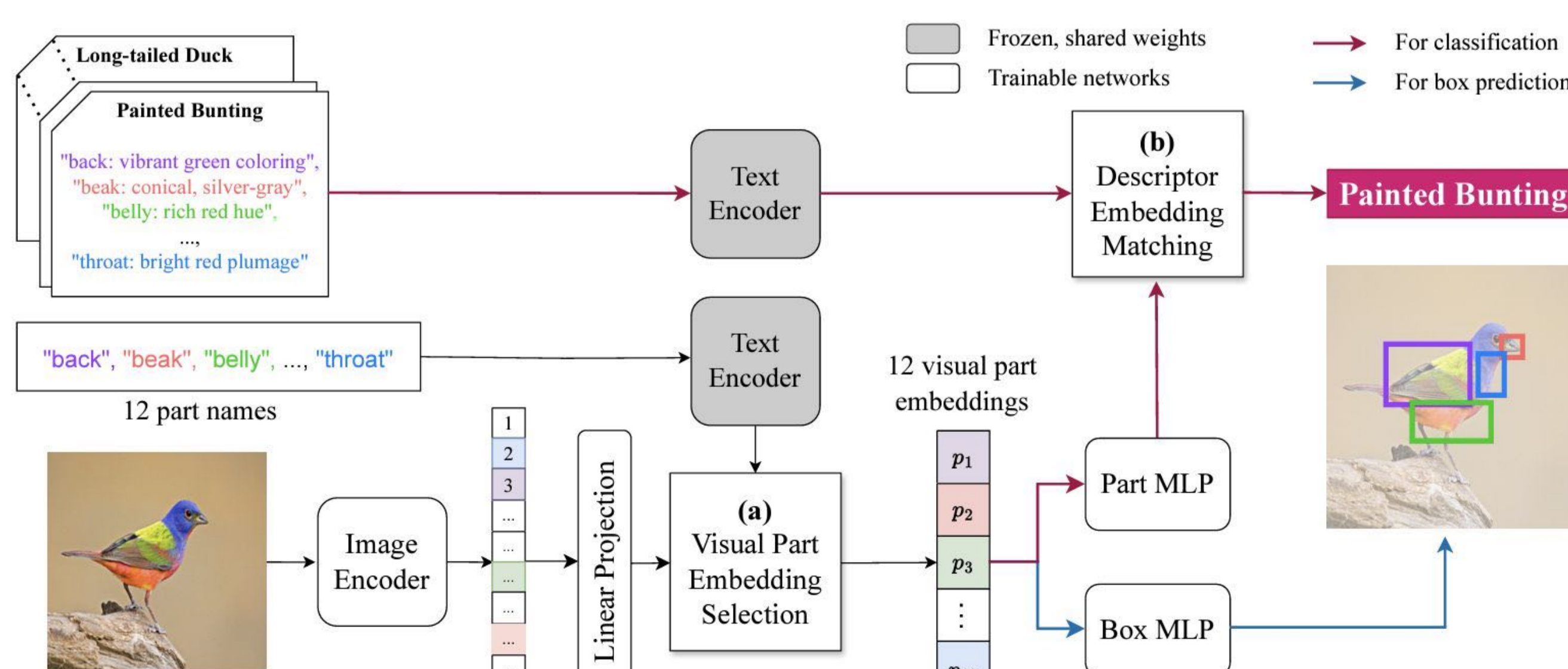
2. Related Work

LaBo (2023), Menon & Vondrick (2023), FuDD (2023), PCBM (2023)

ProtoPNet (2019), ProtoTree (2021), TesNet (2021), Deformable ProtoPNet (2022)



3. PEEB Architecture

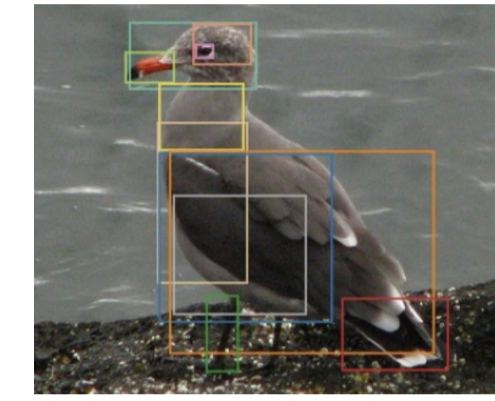


4. How to Train PEEB?

Step 1: Define parts of interest that human experts use for identification

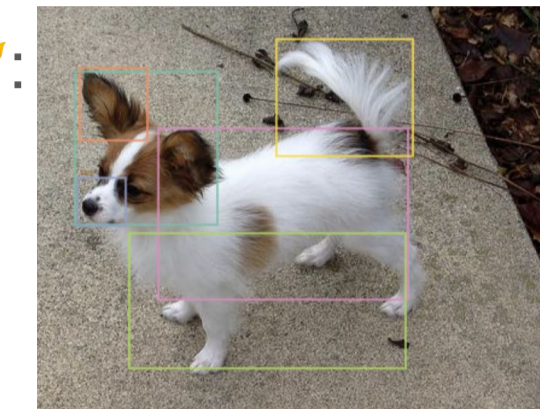
12 parts for birds 🐦:

back, beak, belly, breast, crown, forehead, eyes, legs, wings, nape, tail, and throat



6 parts for dogs 🐕:

head, body, leg, tail, muzzle, and ear.



Step 2: Prompt GPT-4 for descriptors

- A bird has 12 parts: back, beak, belly, breast, crown, forehead, eyes, legs, wings, nape, tail and throat. Visually describe all parts of **<class name>** bird in a short phrase in bullet points using the format 'part: short phrase'

- A dog has 6 parts: head, body, legs, tail, muzzle and ears. Visually describe all parts of **<class name>** dog in a short phrase in bullet points using the format 'part: short phrase'

```
"cardinal!": [
  "back: vibrant red feathers",
  "beak: short, strong, orange",
  "belly: reddish-brown plumage",
  "breast: bright red chest feathers",
  "crown: striking red crest",
  "forehead: vivid red coloration",
  "eyes: small, black, watchful",
  "legs: slender, grey, clawed",
  "wings: red, with black outlines",
  "nape: reddish back of the head",
  "tail: long, red, fan-shaped",
  "throat: rich red plumage"
]

"Toy Poodle!": [
  "head: round, small with a soft, gentle expression",
  "ears: long, set high, feathered and hanging close to the head",
  "muzzle: short, square, and deep with a well-defined stop",
  "body: compact but well-proportioned with a level topline",
  "legs: moderate length, straight and with well-feathered fur",
  "tail: docked, carried level with the back and adorned with feathered fur"
]
```

Step 3: Collect data for large-scale pre-training – Bird-11K

Filtering process

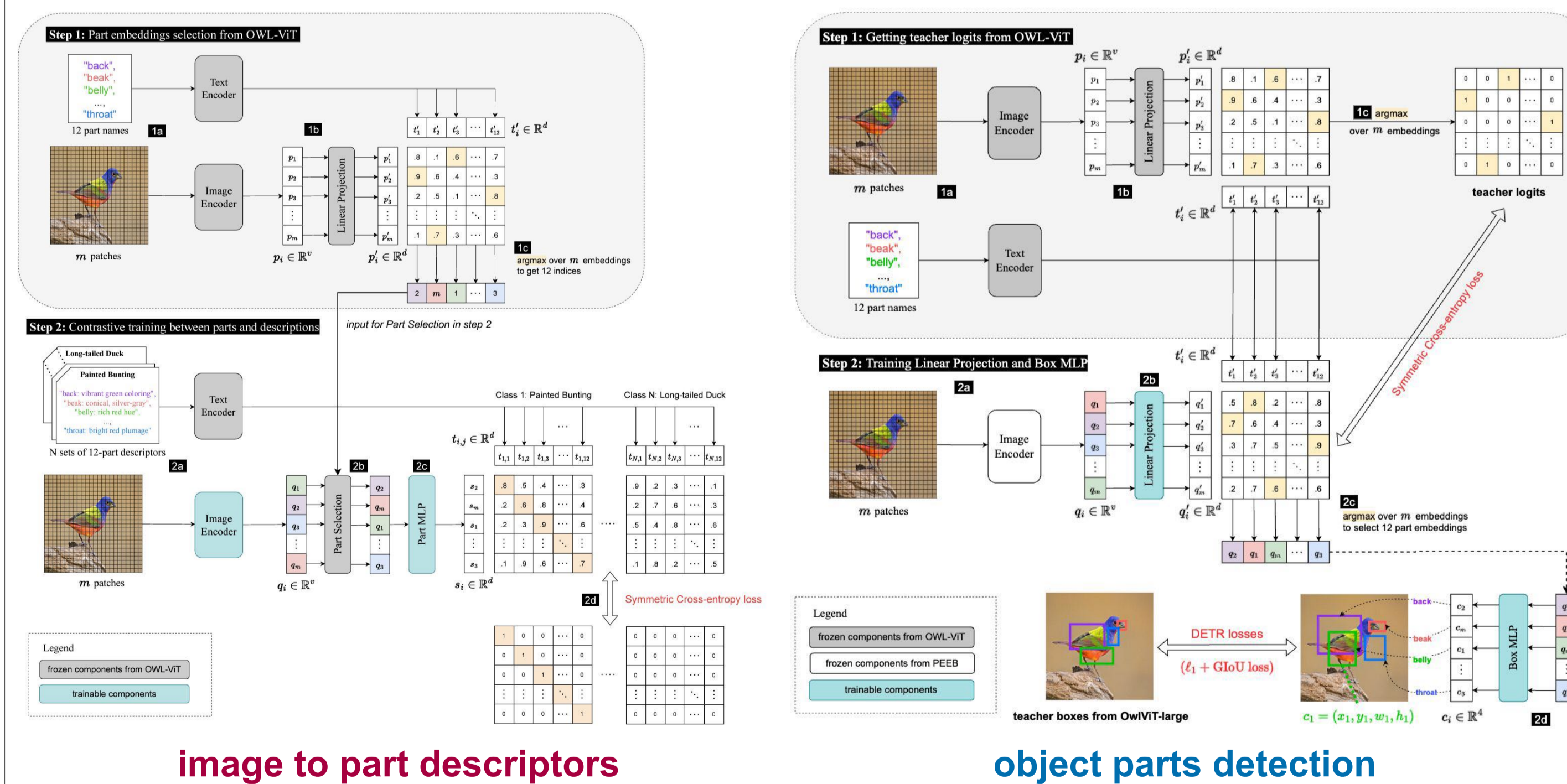
- OWL-ViT → bird bounding boxes → removed if the boxes < 100x100 pixel.
- General class names (e.g., Cardinal) are removed while specific ones are kept (e.g., Yellow Cardinal or Northern Cardinal)

Data splits

- GZSL: Excluding test sets only (images)
- ZSL: Excluding all classes (images + descriptors)

Dataset	# of Images	# of Species
CUB-200-2011 (Wah et al., 2011)	12,000	200
Indian Birds (Vaibhav Rokde, 2023)	37,000	25
NABirds v1 (Van Horn et al., 2015)	48,000	400
Birdsnap v7 (Berg et al., 2014)	49,829	500
iNaturalist 2021-birds (Van Horn et al., 2021)	74,300	1,320
ImageNet-birds (Deng et al., 2009)	76,700	59
BIRDS 525 (Piosenka, 2022)	89,885	525
Macaulay Library at the Cornell Lab of Ornithology	55,283	10,534
Bird-11K (Raw Data)	440,934	11,097
Bird-11K (pre-training set)	294,528	10,811

Step 4: Pre-train PEEB to (1) match image to part descriptors and (2) detect object parts



6. Conclusion

- PEEB – an explainable and editable classifier that grounds part descriptors to visual bird/dog parts for more fine-grained explanations
- PEEB outperforms CLIP- and text concept-based methods in the zero-shot (ZSL) and generalized zero-shot (GZSL) settings.
- After fine-tuning, PEEB achieves comparable performance to SOTA black-box classifiers.
- PEEB is applicable to other domains (e.g., dogs).

5. Experiments & Results

#1. CLIP-based classifiers depend mostly on class names (not part descriptors)

Table 1: Top-1 test accuracy (%) on CUB-200 when using original, correct (a) vs. randomized, wrong descriptors (b). See Fig. 4 for an example of the descriptors.

	CLIP (2021)	M&V (2023)	PEEB
(a) Original descriptors	52.02	53.78	5.89
(b) Randomized descriptors	n/a	52.88	0.59

#2. Pre-trained PEEB outperforms CLIP-based classifiers and text concept-based classifiers on GZSL setting; and generalizes to traditional ZSL

Table 3: PEEB achieves SOTA CUB-200 accuracy among the text descriptor-based classifiers in GZSL. * 1-shot learning. † k-means with k = 32.

Method	Acc (%)	{c}	Textual descriptors
(a) Vision-language models with class names (c) in the prompt			
CLIP (2021)	52.02	✓	Image-level
M&V (2023)	53.78	✓	Image-level
FuDD (2023)	54.30	✓	Image-level
Han et al. (2023b)	56.13	✓	Image-level
(b) Vision-language models with text bottlenecks and no class names (c)			
LaBo (2023)	54.19	✗	Image-level
Yan et al. (2023)	60.27	✗	Image-level, attribute-based
PEEB (ours)	64.33	✓	Part-level
GPT-4V (2023)	69.40	✓	Part-level
(c) Concept-Bottleneck Models with attribute-based, non-textual bottlenecks			
CBM (2020)	62.90	✗	Attribute-based, tabular data
PCBM (2023)	61.00	✗	Attribute-based, tabular data

#3. Fine-tuning yields competitive explainable classifiers on bird and dog domains

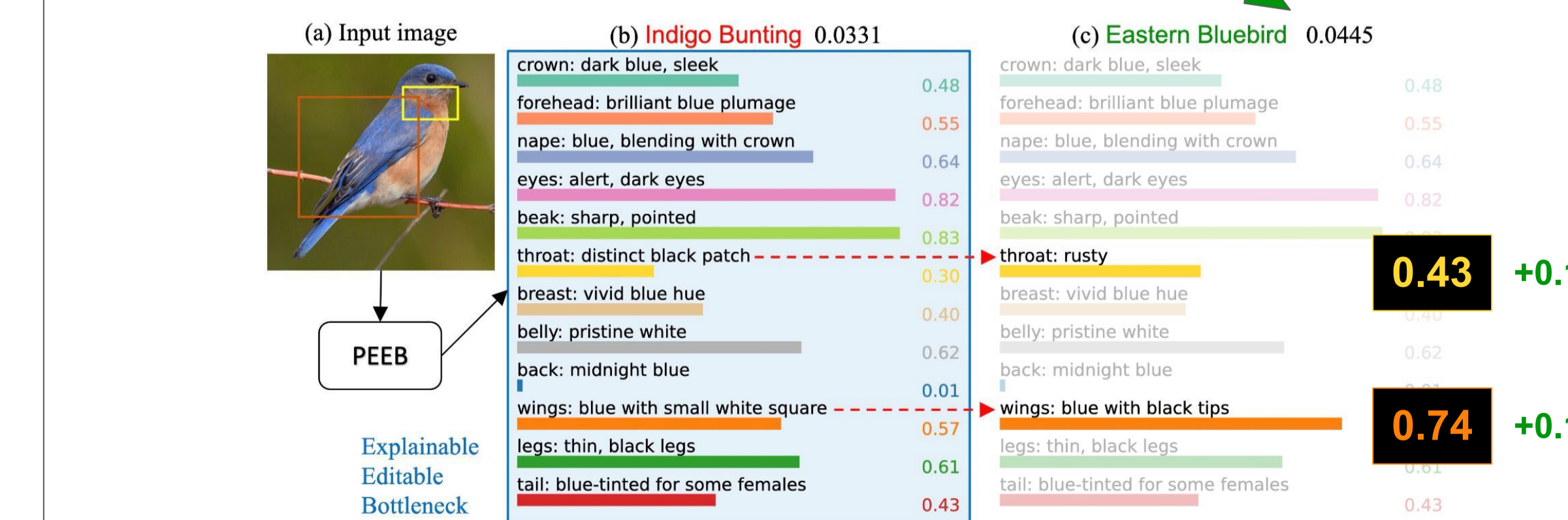
Table 5: PEEB is a state-of-the-art, explainable CUB-200 classifiers in the supervised learning.

Methods	Model size	Backbone	Acc (%)
(a) SOTA black-box classifiers			
Base (ViT) (2021)	22M	DeiT-S (2021)	84.28
ViT-Net (2022a)	26M	DeiT-S	90.10
(b) Concept-bottleneck classifiers			
CBM (Koh et al., 2020)	11M	ResNet-18	80.10
CPM (Panousis et al., 2023)	155M	ViT-B/16	72.00
CDM (Oikarinen et al., 2023)	155M	ViT-B/16	74.31
LaBo (Yang et al., 2023)	427M	ViT-L/14	81.90
(c) Part-based, explainable classifiers			
ProtoNet (2019)	22M	DeiT-S	84.04
ProtoTree (2021)	92M	ResNet-50	82.20
TesNet (2021)	79M	DenseNet-121	84.80
Deformable ProtoPNet (2022)	23M	ResNet-50	86.40
ProtoFormer (2022)	22M	DeiT-S	84.85
PEEB (ours)	155M		
pre-training → fine-tuning only	155M	OWL-ViT _{B/32}	77.80
pre-training + fine-tuning	155M	OWL-ViT _{B/32}	86.73
pre-training + fine-tuning	155M	OWL-ViT _{B/16}	88.80

Table 6: In the supervised learning setting, PEEB is the state-of-the-art explainable, Stanford Dogs-120 classifiers and competitive w.r.t. SOTA black-box models.

Methods	Model size	Backbone	Acc (%)
(a) SOTA black-box classifiers			
TransFG (2022a)	86M	ViT-B/16	92.30
ViT-Net (2022b)	86M	DeiT-B	93.60
SR-GNN (2022)	32M	Xception	97.00
(b) Explainable methods			
FCAN (2016)	50M	ResNet-50	84.20
RA-CNN (2017)	144M	VGG-19	87.30
ProtoPNet (2019)	22M	DeiT-S	77.30
Deformable ProtoPNet (2022)	23M	ResNet-50	86.50
PEEB (ours)	155M		
pre-training → fine-tuning only	155M	OWL-ViT _{B/32}	74.17
pre-training + fine-tuning	155M	OWL-ViT _{B/32}	87.37
pre-training + fine-tuning	155M	OWL-ViT _{B/16}	92.20

#4. PEEB is editable to add new unseen classes



#5. Qualitative result

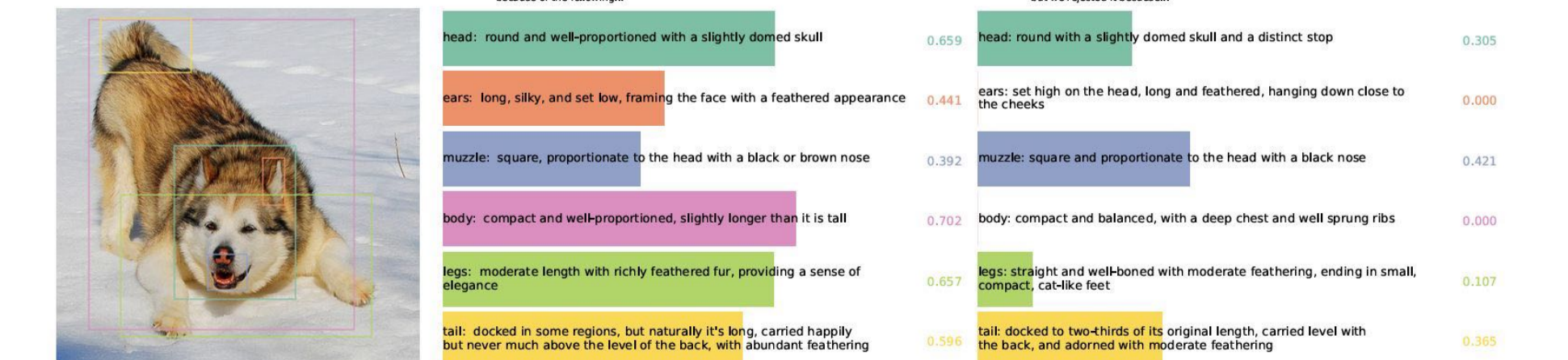


Figure 5: PEEB classifies this Dogs-120 image into Alaskan Malamute (softmax: 0.199) due to the matching between the image regions and associated textual part descriptors. In contrast, the explanation shows that the input image is not classified into Cairn Terrier mostly because its ears and body regions do not match the descriptors, i.e., dot products are 0.000 and 0.000, respectively. See Appendix G for more qualitative examples.